

ON THE MAXIMAL SIZE OF A SET OF ELEMENTS PAIRWISE GENERATING THE SYMMETRIC GROUPS OF EVEN DEGREE

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Joint work with

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Binghamton University
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We dedicated this work to the memory of Carlo Casolo (1958-2020).



Let G be a group that can be generated by two elements but not by one element. For instance, all finite nonabelian simple groups have this property (this is a consequence of the CFSG).

The **generating graph** of G , introduced by M.W. Liebeck and A. Shalev in [8], is the simple graph whose vertices are the elements of G and there is an edge between two vertices x and y if and only if $\langle x, y \rangle = G$.

A graph is said to be complete if there are edges connecting any two of its vertices. The generating graph of a noncyclic 2-generated group G is never complete because of the identity element.

A clique of a graph is a complete subgraph. The **clique number** of G is the maximal size of a clique in the generating graph of G . It is denoted by $\omega(G)$ (although some authors denote it $\mu(G)$).

In other words, $\omega(G)$ is the maximal size of a subset S of G with the property that any two distinct elements of S generate G .

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It is hard to draw generating graphs in a meaningful way, because either there are too many edges or the graph is too simple.

To understand the idea, here is the **complement** of the generating graph of the alternating group A_4 with the identity element removed from the set of vertices.



In this case, a maximal clique of the generating graph is obtained by choosing a representative in each connected component of the above graph.

Note (for later) that the subgroups generated by the connected components form a minimal covering of A_4 .

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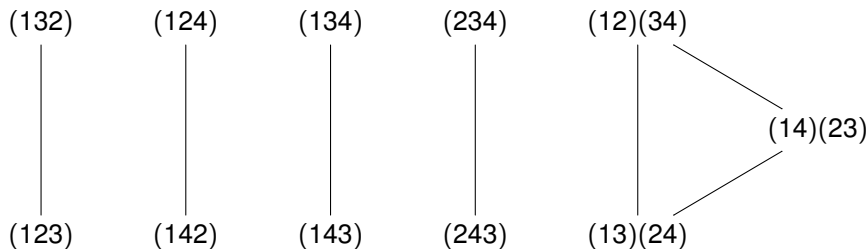


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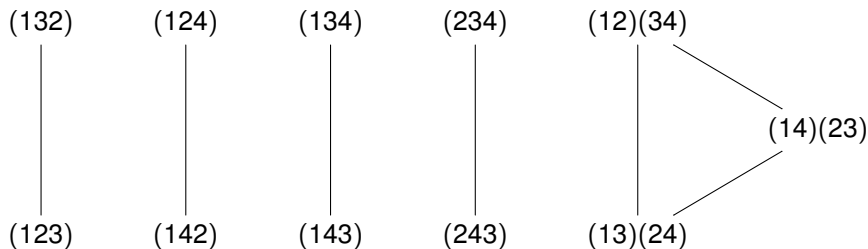


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No group is the union of two proper subgroups.

A group G is a union of three proper subgroups A, B, C if and only if $G/N \cong C_2 \times C_2$ where $N := A \cap B \cap C \trianglelefteq G$. (Scorza, 1926.)

A covering of a non-cyclic group G is a family of proper subgroups of G whose union is G . In 1994, Cohn defined $\sigma(G)$ to be the smallest size of a covering of G . This is called the **covering number** of G .

If G is cyclic, we set $\sigma(G) = \infty$ for consistency of notation, with the convention that $m < \infty$ for every integer m .

We have a basic but very important inequality:

$$\omega(G) \leq \sigma(G).$$

This is because if $x, y \in G$ generate G then they cannot lie in the same proper subgroup of G .

It is a natural question to ask whether $\omega(G) = \sigma(G)$ for a given G .

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The invariant $\sigma(G)$ has a reasonable history. One very basic fact is that if $N \trianglelefteq G$ then

$$\sigma(G) \leq \sigma(G/N)$$

because every covering of G/N can be lifted to a covering of G .

We will list some facts about $G = S_n$, the symmetric group.

- ① $\sigma(S_3) = 4$, the Sylow subgroups form a minimal covering.
- ② $\sigma(S_4) = 4$ because S_4 has S_3 as homomorphic image.
- ③ $\sigma(S_5) = 16$, Cohn (1994).
- ④ $\sigma(S_n) = 2^{n-1}$ for $9 \neq n \geq 7$ odd, Maróti (2005).
- ⑤ $\sigma(S_6) = 13$, Abdollahi, Ashraf and Shaker (2007).
- ⑥ $\sigma(S_8) = 64$, $\sigma(S_9) = 256 = 2^{9-1}$, $\sigma(S_{10}) = 221$, $\sigma(S_{12}) = 761$, Kappe, Nikolova-Popova and Swartz (2016).
- ⑦ $\sigma(S_n)$ for $n \geq 18$ divisible by 6, Swartz (2016).
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Consider $G = S_n$ with n odd.

The works of Maróti ($n \neq 9$), Kappe, Nikolova-Popova and Swartz ($n = 9$) show that a minimal covering of G is given by the following subgroups.

- The alternating group A_n .
- The intransitive maximal subgroups $S_i \times S_{n-i}$ stabilizing a set of size i for every i with $1 \leq i \leq (n-1)/2$.

$$\sigma(S_n) = 1 + \sum_{i=1}^{(n-1)/2} \binom{n}{i} = 2^{n-1}.$$

In order to prove that $\sigma(G) = X$ one usually proves that

- **Upper bound.** $\sigma(G) \leq X$ by exhibiting a covering of size X .
- **Lower bound.** $\sigma(G) \geq X$ by finding a set Π of elements of G that require at least X proper subgroups to be covered.

In the case of S_n with n odd, the above set Π is given by the elements of S_n that are product of at most two disjoint cycles.

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In the case of S_n with n odd, the above set Π is given by the elements of S_n that are product of at most two disjoint cycles.

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We will leave $n = 18$ out since it is a bit different. If $n \geq 24$ is divisible by 6 then

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The result I am now presenting is the following.

THEOREM (FUMAGALLI, G., MARÓTI)

If n is even and $n \geq 20$, $n \neq 22$, then $\omega(S_n) = \sigma(S_n)$ and

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After Maróti's result about $\sigma(S_n)$ when n is odd and $n \neq 9$ (2005), Blackburn [2] (2006) proved that $\omega(G) = \sigma(G)$ when G is a symmetric group of large enough odd degree. Later, Stringer studied the small values of the degree. Combining their results, what they proved is

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Interestingly, this result gives us examples of groups for which $\omega \neq \sigma$, the symmetric groups S_5 and S_9 .

The values of $\omega(S_9)$ and $\omega(S_{15})$ are not known. It is also not known whether $\omega(S_{15})$ equals $\sigma(S_{15})$ or not.

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- Consider a family \mathcal{M} of maximal subgroups of G and $\Pi \subseteq G$.
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- Suppose that, whenever $M, K \in \mathcal{M}$ and $M \neq K$, the elements g_M, g_K generate G with high probability.
- Then, by a probabilistic argument based on the Lovász local lemma, the probability that the randomly chosen elements, one for each $C(M)$, generate G pairwise is positive.

This guarantees the existence of $g_M \in C(M)$ for every $M \in \mathcal{M}$ such that $\langle g_M, g_K \rangle = G$ for every $M, K \in \mathcal{M}$, $M \neq K$. This implies that $\{g_M : M \in \mathcal{M}\}$ is a clique of the generating graph of G , and its size is $|\mathcal{M}|$, so $|\mathcal{M}| \leq \omega(G)$.

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More formally, we have the following beautiful result, proved by Erdős and Lovász in 1975.

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Let A_1, \dots, A_n be events in an arbitrary probability space. Let (V, E) be a directed graph, where $V = \{1, \dots, n\}$, and assume that

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(This is a mutual independence condition.) Let d be the maximum valency of a vertex of the graph (V, E) .

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$$P(A_i \mid \bigcap_{j \in S} \overline{A_j}) = P(A_i) \quad \forall i \in V, \quad \forall S \subseteq \{j \in V : (i, j) \notin E\}.$$

(This is a mutual independence condition.) Let d be the maximum valency of a vertex of the graph (V, E) .

$$\text{If } P(A_i) \leq \frac{1}{e(d+1)} \quad \forall i \in V \quad \text{then} \quad P\left(\bigcap_{i \in V} \overline{A_i}\right) > 0.$$

As I mentioned, the idea of using the local lemma to compute $\omega(G)$ was introduced by Blackburn [2].

Let $G := S_n$ and assume that Π is a subset of G and \mathcal{M} is a set of maximal subgroups of G which can be partitioned $\Pi = \bigcup_{i \in I} \Pi_i$, $\mathcal{M} = \bigcup_{i \in I} \mathcal{M}_i$ in such a way that

- (Covering condition.) $\bigcup_{M \in \mathcal{M}} M = G$.
- (Partition condition.) The sets

$$C(M) := M \cap \Pi, \quad M \in \mathcal{M},$$

are non-empty and pairwise disjoint. Moreover, $C(M) \subseteq \Pi_i$ if $M \in \mathcal{M}_i$, for every $i \in I$.

Choose, uniformly and independently, an element g_M in every $C(M)$. Let V be the set of 2-element subsets of \mathcal{M} and set

$$E := \{(v, w) \in V \times V : v \cap w \neq \emptyset\}.$$

Then (V, E) is a simple regular graph with valency $d = 2(|\mathcal{M}| - 2)$.

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For every $v = \{M, K\} \in V$ let E_v be the event “ $\langle g_M, g_K \rangle \neq G$ ”.

The valency of every vertex is $d = 2(|\mathcal{M}| - 2)$. Using the local lemma, if we can prove that

$$P(E_v) \leq \frac{1}{e(d+1)} = \frac{1}{e(2|\mathcal{M}| - 3)},$$

then we can deduce that the event

$$\bigcap_{v \in V} \overline{E_v} = “\langle g_M, g_K \rangle = G \quad \forall M, K \in \mathcal{M}, \quad M \neq K”$$

has positive probability. Therefore there exists a choice of the elements g_M forming a clique of the generating graph of G , so that

$$|\mathcal{M}| \leq \omega(G).$$

Since $\bigcup_{M \in \mathcal{M}} M = G$, we have $\omega(G) \leq \sigma(G) \leq |\mathcal{M}|$, therefore

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Recall that our main result is the following.

THEOREM (FUMAGALLI, G., MARÓTI)

If n is even and $n \geq 20$, $n \neq 22$, then $\omega(S_n) = \sigma(S_n)$ and

$$\omega(S_n) = \begin{cases} 1 + \frac{1}{2} \binom{n}{n/2} + \sum_{i=1}^{n/3-1} \binom{n}{i} & \text{if } n \equiv 0 \pmod{3}, \\ 1 + \frac{1}{2} \binom{n}{n/2} + \sum_{i=1}^{(n-1)/3-2} \binom{n}{i} + \binom{n}{(n-1)/3} & \text{if } n \equiv 1 \pmod{3}, \\ 1 + \frac{1}{2} \binom{n}{n/2} + \sum_{i=1}^{(n-2)/3} \binom{n}{i} & \text{if } n \equiv 2 \pmod{3}. \end{cases}$$

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Sketch of proof.

Assume $n \equiv 2 \pmod{3}$, with $n/2$ odd, and write $n = 3q + 2$, so that q is even. In this sketch, we will assume that n is as large as we need.

Let \mathcal{M} be the set consisting of the alternating group A_n (\mathcal{M}_0), the maximal intransitive subgroups of type $S_i \times S_{n-i}$, with $i = 1, \dots, q$ (\mathcal{M}_i , $i = 1, \dots, q$), and the maximal imprimitive subgroups with two blocks, $S_{n/2} \wr S_2$ (\mathcal{M}_{-1}). Set

$$\begin{aligned} \Pi_{-1} &= (n), & \Pi_0 &= (n/2 - 2, n/2 + 2), & \Pi_1 &= (1, n/2 - 2, n/2 + 1), \\ \Pi_2 &= (2, n/2 - 4, n/2 + 2), & \Pi_4 &= (4, n/2 - 2, n/2 - 2). \end{aligned}$$

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For every $M \in \mathcal{M}$ set $C(M) := \Pi \cap M$. For every maximal subgroup H of G outside \mathcal{M} , define

$$f_M(H) := \frac{|C(M) \cap H|}{|C(M)|}.$$

We can bound the probability of E_v , where $v = \{M, K\} \in V$, as follows, where H varies in the set of maximal subgroups of G .

$$P(E_v) \leq \sum_H f_M(H) \cdot f_K(H) = \sum_{H \in \mathcal{H}_1} f_M(H) \cdot f_K(H) + \sum_{H \in \mathcal{H}_2} f_M(H) \cdot f_K(H).$$

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$$l_j = \sum_{H \in \mathcal{H}_j} f_M(H) \cdot f_K(H), \quad j \in \{1, 2\}.$$

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It is possible to show that, for every $M \in \mathcal{M}$,

$$|C(M)| \geq \frac{4}{n^3} 2^{2n/3} \left(\frac{n}{3e}\right)^n.$$

From now on, let H be a maximal subgroup of G outside \mathcal{M} .

Assume first that H is intransitive. Using the fact that $H \notin \mathcal{M}$, it is possible to show that

$$f_M(H) \leq \frac{6n}{2^{2n/3}}.$$

Let M, K be two distinct members of \mathcal{M} . Since at most two members of $\mathcal{H}_1 - \mathcal{M}$ intersect both $C(M)$ and $C(K)$, we obtain that

$$\ell_1 = \sum_{H \in \mathcal{H}_1} f_M(H) \cdot f_K(H) \leq 2 \cdot \left(\frac{6n}{2^{2n/3}}\right)^2 = 72n^2 \cdot (1/2)^{4n/3}.$$

This is good for us since $(1/2)^{4/3} < 1/2$.

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Assume now that H is transitive. If H is primitive then $|H| \leq 4^n$ (Praeger, Saxl 1980, not depending on CFSG), and if H is imprimitive then, since n is large and not divisible by 3, the largest value of $|H|$ is given by the case $H \cong S_{n/5} \wr S_5$. It follows that

$$|H| \leq (n/5)!^5 \cdot 5! \leq 120n^3(n/5e)^n.$$

Therefore

$$f_M(H) = \frac{|C(M) \cap H|}{|C(M)|} \leq \frac{|H|}{|C(M)|} \leq \frac{120n^3(n/5e)^n}{\frac{4}{n^3} 2^{2n/3} \left(\frac{n}{3e}\right)^n} = 30n^6 \cdot \left(\frac{3}{5 \cdot 2^{2/3}}\right)^n.$$

Note that $f_M(H) \leq 30n^6 \cdot a^n$, $a = 3/(5 \cdot 2^{2/3}) < 1/2$.

For technical reasons (that depend on CFSG !!) the term $f_M(H)$ is the main contribution in the bound for ℓ_2 in the sense that

$$\ell_2 = \sum_{H \in \mathcal{H}_2} f_M(H) \cdot f_K(H) \leq n^{O(1)} \cdot \max_{H \in \mathcal{H}_2} f_M(H) \leq n^{O(1)} a^n.$$

This is less than $(1/2)^n$ when n is large.

This finishes the sketch of the proof.

Assume now that H is transitive. If H is primitive then $|H| \leq 4^n$ (Praeger, Saxl 1980, not depending on CFSG), and if H is imprimitive then, since n is large and not divisible by 3, the largest value of $|H|$ is given by the case $H \cong S_{n/5} \wr S_5$. It follows that

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Let us go back to bounding the probability.

Given a family \mathcal{H}_j of maximal subgroups of $G = S_n$ outside \mathcal{M} and a vertex $v = \{M, K\}$ of the graph, let E_v^j be the event that " $g_M, g_K \in H$ for some $H \in \mathcal{H}_j$ ". A technical computation shows that

$$P(E_v^j) \leq c_{v,j} \cdot \min_{\{L_1, L_2\}=\{M, K\}} \left(\max_{\substack{S \in \mathcal{H}_j \\ H \in [S]}} (m_{L_1}([S]) \cdot f_{L_2}(H)) \right).$$

- $[S]$ denotes the G -conjugacy class of a subgroup S of G .
- $m_L([S]) = \max_{g \in C(L)} |\{S^x : x \in G, g \in S^x\}|$.
- $c_{v,j}$ denotes the number of conjugacy classes of subgroups in \mathcal{H}_j such that there exists H in such a class such that $H \cap C(M) \neq \emptyset$ and $H \cap C(K) \neq \emptyset$.

It is elementary to see that $m_L([S]) \leq n^3$.

However, there is no elementary way (i.e. without CFSG) to effectively bound $c_{v,j}$ when \mathcal{H}_j is the family of primitive maximal subgroups. Using CFSG, a deep theorem of Liebeck and Shalev [7] (1996) implies that $c_{v,j} \leq n$ for large enough n in this case.

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In other words, the technical reasons above (the ones depending on CFSG) depend on us being able to bound the number of conjugacy classes of primitive maximal subgroups of $G = S_n$.

To obtain a proof not depending of CFSG (for n large) we would need to prove, without using CFSG, that the number of conjugacy classes of maximal primitive subgroups of $G = S_n$ (n large) is at most

$$(n/8e)^n.$$

This seems to be out of reach.

Even classifying primitive groups of degree n containing n -cycles requires the classification.

THEOREM (TURÁN 1941)

A simple graph on m vertices which does not contain a clique of size $r + 1$ has at most $(1 - 1/r)m^2/2$ vertices.

Using this, together with a result of Virchow about generating pairs in the alternating and symmetric groups, we can prove without using CFSG that $\omega(S_n) > n/5$ for large n .

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There are certain hidden technicalities in the above sketch.

The main one is that when H is an imprimitive maximal subgroups with 3 or 4 blocks, i.e. of type $S_{n/3} \wr S_3$ or $S_{n/4} \wr S_4$, the bound

$$f_M(H) = \frac{|C(M) \cap H|}{|C(M)|} \leq \frac{|H|}{|C(M)|}$$

is not good enough. We need to work out the exact value of $|C(M) \cap H|$ in these cases.

When looking at small values of n ($20 \leq n < 166$, $n \neq 22$), the above bound is not good enough either. We have a general lemma computing the exact value of $f_M(H)$ in case H is not primitive.

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This settles the problem of calculating $\omega(S_n)$ and $\sigma(S_n)$ for every positive integer n , with the following exceptions.

$$\begin{aligned} &\sigma(S_{16}), \sigma(S_{22}), \\ &\omega(S_6), \omega(S_8), \omega(S_9), \omega(S_{10}), \omega(S_{12}), \\ &\omega(S_{14}), \omega(S_{15}), \omega(S_{16}), \omega(S_{18}), \omega(S_{22}). \end{aligned}$$

In other words, our theorem reduces the set of unknown values of $\omega(S_n)$ and $\sigma(S_n)$ to the above list.

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