

Today's plan:

- ▶ Section 1.2.7 : Rankings
- ▶ Section 1.3 : One person -
Multiple votes; Two alternatives

Section 1.2.7 : Rankings

- ▶ Elections are sometimes held for multiple offices at once, with each candidate interested in any position.

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- ▶ For example, the Math Club could be electing president, vice-president and treasurer all at once.

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If we do the **Borda count** method or **pairwise comparisons** method, a ranking falls right out: most points to fewest points.

Example

- ▶ Math Club electing president, vice-president and treasurer.

Example

- ▶ Math Club electing president, vice-president and treasurer.
- ▶ Four candidates **A**, **B**, **C**, and **D**.

Example

They'll be ranked and

- ▶ first place is president

Example

They'll be ranked and

- ▶ first place is president
- ▶ second place is vice-president

Example

They'll be ranked and

- ▶ first place is president
- ▶ second place is vice-president
- ▶ third place is treasurer

a) Get the ranking of candidates using the Borda count method.

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- b) Get the ranking of candidates using the pairwise comparisons method.

Borda method:

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We already got:

- ▶ **A** gets 45 points
- ▶ **B** gets 57 points
- ▶ **C** gets 58 points
- ▶ **D** gets 40 points

So, ranking with the Borda count method we get:

President: **C**

Vice-president: **B**

Treasurer: **A**

Pairwise comparison method:

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We already got:

- ▶ **A** gets 0 points
- ▶ **B** gets 3 points
- ▶ **C** gets 2 points
- ▶ **D** gets 1 points

So, ranking with the Pairwise comparison method we get:

President: **B**

Vice-president: **C**

Treasurer: **D**

Remark

The two methods produce completely different results.

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- ▶ the candidate eliminated in the second round in second to last place
- ▶ and so on...

Remarks:

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- ▶ In order to get a complete ranking we can't just stop when someone has a majority.
- ▶ We **have to** keep going until there are only two candidates.

Example

Get the ranking of the candidates in the Math Club election, using Plurality with Elimination.

Solution

We already got:

- ▶ *candidate **D** is eliminated in the first round*
- ▶ *candidate **B** is eliminated in the second round*
- ▶ *candidate **A** is eliminated in the third round*
- ▶ *candidate **C** is the winner*

Solution

Therefore, according to the plurality with elimination method we get:

*President: **C***

*Vice-president: **A***

*Treasurer: **B***

(Note: We didn't talk about rankings using the Plurality Method, but it's clear how to do it.)

Section 1.3 : One Person – Multiple Votes; Two Alternatives

Example (Motivating)

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Example (Motivating)

At a shareholders assembly, the owners of a company vote on some propositions:

- ▶ Y/N: Approve the CFO's annual report;
- ▶ Y/N: Reappoint the accounting firm;
- ▶ Y/N: Increase the CEO's salary.

- ▶ Each stockholder participates in these decisions with a **number of votes proportional to the number of stocks owned.**

Definition

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- ▶ Each voter holds a certain number of votes, his/her **weight**
- ▶ all votes are on two-alternative (Y/N) propositions

Example

- ▶ The Kleen Car Wash Co. is owned by 4 shareholders, **A**, **B**, **C**, and **D**.

Example

- ▶ The Kleen Car Wash Co. is owned by 4 shareholders, **A**, **B**, **C**, and **D**.
- ▶ They own 40%, 30%, 20%, and 10%, respectively, of the company stock.

This is a weighted voting system where

- ▶ **A** has 4 votes
- ▶ **B** has 3 votes
- ▶ **C** has 2 votes
- ▶ **D** has 1 vote.

Question

Is it clear how many votes it should take to pass a proposition?

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- ▶ Since the total number of votes is 10, the quota should be at least 6
- ▶ If it's less, say 5, then a proposition could be both passed and rejected. A voting system which allows this contradiction is called **anarchy**.

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It also makes no sense to have a quota bigger than 10: nothing could ever pass! Such a system is called a **non-functional** voting system.

Since we are interested in **functional systems** which are **not anarchic**, we impose the following quota restriction:

Quota Restriction

In a weighted voting system with n voters having weights w_1, w_2, \dots, w_n , the quota q is restricted by the inequalities

$$\frac{w}{2} < q \leq w$$

where $w = w_1 + w_2 + \dots + w_n$ is the **total weight** of the system.

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- ▶ The total weight is
 $w = 4 + 3 + 2 + 1 = 10$.
- ▶ so, the quota restriction is
 $5 < q \leq 10$.

Careful! The bottom inequality is $<$
and the top is \leq .

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- ▶ just above half of the total weight, we have a **simple majority** voting system
- ▶ equal to the total weight, we have a **unanimity** voting system; here it takes all voters to say “yes” for a proposition to pass

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Simple majority and $2/3$ -majority voting systems are common.

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$$[q : w_1, w_2, \dots, w_n]$$

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- ▶ q is the quota.

Usually the weights are listed in decreasing order.

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- ▶ **special propositions** which deal with important things. These require a **2/3 majority** to pass.
- ▶ **ordinary propositions**, which are the rest. These require only a **simple majority**.

Example

Describe the two voting systems, in the form

$$[q : w_1, w_2, \dots, w_n]$$

Solution

Ordinary propositions *A simple majority quota is $q = 6$, so this system is*

$$[6 : 4, 3, 2, 1]$$

Solution

Special propositions

- ▶ *2/3 of 10 is 6.67 but the quota must be an integer so we take $q = 7$.*

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- ▶ *The special proposition voting system is therefore*

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Solution

Special propositions

- ▶ $2/3$ of 10 is 6.67 but the quota must be an integer so we take $q = 7$.
- ▶ The special proposition voting system is therefore

$$[7 : 4, 3, 2, 1]$$

A has enough votes to **stop** a special proposition on her own!

Definition

We say that a voter has **veto power** if he/she has enough weight to **block** a proposition on his/her own.

Note, however, that **A** does not have enough weight to **pass** a proposition all by herself.

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Definition

We say that a voter is a **dictator** if they have enough weight to pass a proposition all by themselves.

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- ▶ A dictator must have weight $w_i \geq q > w/2$ and therefore there can be **no more than one dictator**.
- ▶ Voting systems with a dictator are not so interesting.
- ▶ The dictator can do whatever they want.

Example

Consider the voting system
 $[8 : 10, 3, 2]$.

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For all practical purposes this system
is the same as

$$[1 : 1, 0, 0].$$

Next time:

Section 1.3.1 : Coalitions and Section
1.3.2 : Critical voters; Power Index