# **Today's plan:**

1

## Section 1.2.2 : Preference Ballots

## Section 1.2.3 : Borda Count Method

### Section 1.2.2 : Preference Ballots and Introduction to Fairness Criteria.

3

## It might not be ideal to only record first choice votes.

▲□▶ ▲圖▶ ★ 国▶ ★ 国▶ - 国 - のへで

#### **Remarks:**

- It might not be ideal to only record first choice votes.
- Maybe we want to know first choice, second choice, third choice, etc.

#### **Remarks:**

- It might not be ideal to only record first choice votes.
- Maybe we want to know first choice, second choice, third choice, etc.

For that we introduce...

# Preference Ballots

# Preference Ballots

#### Definition

In a preference ballot the voters rank all or some of the candidates according to their preferences.

・ロト ・ 厚 ト ・ ヨ ト ・ ヨ ト

#### Example

In the Math Club election for president, the club members are asked to rank the four candidates **A**, **B**, **C**, and **D**, according to their preferences. The outcome is:

▲口> ▲圖> ★注> ★注> 二注:



# If we only look at first choice preference, we have: 8 - A, 5 - B, 7 - C, 0 - D.

# If we only look at first choice preference, we have: 8 - A, 5 - B, 7 - C, 0 - D.

 However, 4 of the 5 voters that rank B as their first choice, rank C as their second choice. (The other one ranks D as second choice.)

# We organize data into the **preference schedule**.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

# We organize data into the **preference schedule**.

#### Definition

In the preference schedule each distinct ballot is listed only once, with the number of occurrences indicated on top.

|        | Number of ballots |   |   |   |   |
|--------|-------------------|---|---|---|---|
| Choice | 8                 | 6 | 1 | 1 | 4 |
| 1st    | A                 | С | С | В | В |
| 2nd    | В                 | D | D | D | С |
| 3rd    | С                 | В | A | С | D |
| 4th    | D                 | A | В | Α | A |

・ロト・日本・日本・日本・日本・日本



There's no simple way to pick a winner, taking everything into account, and being fair.



There's no simple way to pick a winner, taking everything into account, and being fair.

 Different methods have been designed.

- There's no simple way to pick a winner, taking everything into account, and being fair.
- Different methods have been designed.
- We will study some of the most important ones.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

Question What does fair mean?

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

#### Question

# What does fair mean?

 There are four basic fairness criteria that a method may or may not satisfy.

#### Question

# What does fair mean?

- There are four basic fairness criteria that a method may or may not satisfy.
- The majority criterion was the first.

## It turns out that each voting method fails at least one of the four criteria.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

## It turns out that each voting method fails at least one of the four criteria.

・ロト ・ 理 ト ・ ヨ ト ・ ヨ ト ・ ヨ

Question Is there a fair voting method?



◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

#### Answer

**NO!** In 1952 Kenneth Arrow proved the General Impossibility Theorem, which says it's impossible to have a voting method satisfying all four fairness criteria.

#### Answer

**NO!** In 1952 Kenneth Arrow proved the General Impossibility Theorem, which says it's impossible to have a voting method satisfying all four fairness criteria. This helped earn him the 1972 Nobel prize in Economics.

## Here he is:



# Kenneth Arrow (1921 - )

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

## Section 1.2.3 : Borda Count Method

In the Borda count method each candidate gets a certain number of points, depending on the ranking.

## In the Borda count method each candidate gets a certain number of points, depending on the ranking.

 The points for all the ballots are added up

## In the Borda count method each candidate gets a certain number of points, depending on the ranking.

- The points for all the ballots are added up
- The candidate with the largest number of points is then the winner.

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

 The first-choice candidate gets as many points as there are candidates.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

 The first-choice candidate gets as many points as there are candidates.

Second-choice gets one fewer point

- The first-choice candidate gets as many points as there are candidates.
- Second-choice gets one fewer point

and so on.

#### Example

Find the winner of the Math Club president election using the Borda count method.

|        | Number of ballots |   |   |   |   |
|--------|-------------------|---|---|---|---|
| Choice | 8                 | 6 | 1 | 1 | 4 |
| 1st    | Α                 | С | С | В | В |
| 2nd    | В                 | D | D | D | С |
| 3rd    | С                 | В | A | С | D |
| 4th    | D                 | A | В | A | A |

# We have:

- first choice gets 4 points
- second choice gets 3 points
- third choice gets 2 points
- fourth choice gets 1 point.

Let's do this computation. [On the board].

21

# Note that when we used the plurality method A was the winner.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

#### **Remarks:**

Note that when we used the plurality method A was the winner.
Different methods may produce different results.

#### Example

## The Clearview City Council has 15 members.

・ロト・「聞・・思・・思・」 しゃくの

#### Example

 The Clearview City Council has 15 members.

 They're electing a president by Simple Borda Count method.

#### Example

 The Clearview City Council has 15 members.

- They're electing a president by Simple Borda Count method.
- ► There are 3 candidates.

# The preference schedule is:

|        | Number of ballots |   |   |  |
|--------|-------------------|---|---|--|
| Choice | 8                 | 4 | 3 |  |
| 1st    | А                 | В | В |  |
| 2nd    | В                 | С | A |  |
| 3rd    | С                 | А | С |  |

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

## The preference schedule is:

|        | Number of ballots |   |   |  |  |
|--------|-------------------|---|---|--|--|
| Choice | 8                 | 4 | 3 |  |  |
| 1st    | А                 | В | В |  |  |
| 2nd    | В                 | С | A |  |  |
| 3rd    | С                 | A | С |  |  |

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへで

Find the winner of the election.

# Since there are three candidates, • first place candidates get 3 points,

(日) (同) (日) (日)

-

# Since there are three candidates,

first place candidates get 3 points,
second place candidates get 2 points,

Since there are three candidates,

- first place candidates get 3 points,
- second place candidates get 2 points,
- third place candidates get 1 point.

Since there are three candidates,

- first place candidates get 3 points,
- second place candidates get 2 points,
- third place candidates get 1 point.

Let's do the computation and find the winner. [On the board.]

## Here:

## candidate A has a majority of first place votes, namely 8 out of 15.

# Here:

candidate A has a majority of first place votes, namely 8 out of 15.
But the winner is B.

# Here:

candidate A has a majority of first place votes, namely 8 out of 15.
But the winner is B.
This is a violation of the majority criterion: the criterion says A ought to win, but B won instead.

## Basic Borda Count Method

In an election with k candidates:

- the first place candidate in each ballot receives k points
- ► The second place candidate receives (k − 1) points
- ▶ and so on...

# The candidate with the largest number of points is the winner.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●



# Jean Charles de Borda (1733 - 1799)

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

# There are variations of the Borda count method.

Example (Approval Voting)

Each voter just says "yes" or "no" for each candidate.

# There are variations of the Borda count method.

#### Example (Approval Voting)

Each voter just says "yes" or "no" for each candidate.

► Each "yes" is worth 1 point.

# There are variations of the Borda count method.

#### Example (Approval Voting)

# Each voter just says "yes" or "no" for each candidate.

- ► Each "yes" is worth 1 point.
- ► Each "no" is worth 0 points.

# The candidate with the largest number of points is the winner.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●